



COPY OF PAPERS  
ORIGINALLY FILED

Attorney Docket # 4136-212

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Francis Edward FISHER et al.

Serial No.: 09/939,356

Filed: August 24, 2001

For: Heat Sink

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

November 7, 2001  
(Date of Deposit)

F. Brice Faller

Name of applicant, assignee or Registered Representative

Signature

November 7, 2001  
Date of Signature

**RESPONSE TO NOTICE TO FILE CORRECTED  
APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

In order to complete the filing of missing parts for the above-identified application, applicants submit herewith the following:

- Executed Declaration and Power of Attorney
- Check in the amount of \$130.00 \$65.00 to cover the late filing of the Declaration and Power of Attorney, as required by 37 CFR 1.16(e).
- Seven (7) sheets of substitute drawings (Figs. 1A to 7)
- Preliminary Amendment
- Letter transmitting Priority Document
- Priority Documents No. 0106547.3
- Recordation cover sheet - PTO Form 1595
- Assignment of the invention to **Aavid Thermalloy, LLC**
- Check in the amount of \$40.00 to cover the recordation fee
- Verified English translation
- Check in the amount of \$130 to cover the fee for late submission of English translation, as required under 37 CFR 1.17(k)
- Copy of Notice to File Corrected Application Papers

If an extension of time to respond to this Notice To File Missing Parts of Application is required, then this paper also constitutes an express request for such an extension of time.

In the event that any additional fees or charges are deemed necessary in connection with the application at the present time, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By:



F. Brice Faller  
Reg. No. 29,532  
551 Fifth Avenue  
New York, New York 10176  
(212) 687-2770

Dated: 7 November 2001

COPY OF PAPERS  
ORIGINALLY FILED

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/939,356         | 08/24/2001          | Francis Edward Fisher | 4136-212               |

## CONFIRMATION NO. 3461

## FORMALITIES LETTER



\*OC00000006815764\*

Edward M. Weisz, Esq.  
 Cohen, Pontani, Lieberman & Pavane  
 551 Fifth Avenue, Suite 1210  
 New York, NY 10176

Date Mailed: 10/01/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

---

*A copy of this notice MUST be returned with the reply.*

---

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE